

**FSA Integration Program**  
**United States Department of Education**  
**Federal Student Aid**



**EZ-Audit**

**Trading Partner Agreement**  
**with the**  
**Postsecondary Education Participants System**  
**(PEPS)**

**Version 1.0**

**Task Order 116**

**March 28, 2003**



## Approval Control Sheet

This Trading Partner Agreement was reviewed and approved by the following individuals:

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Date

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Randy Wolff, eZ-Audit Program Manager

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Date

Once all the approvers sign this control sheet, this document will be placed under change control and will be updated as designs and development changes are made.



## Amendment History

DATE	DESCRIPTION	REFERENCE	APPROVALS / DATE
2/2/03	Initial Draft		
3/17/03	Updates from eZ-Audit and PEPS section		
3/28/03	Final updates from PEPS team		



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# **1 Introduction**

## **1.1 Document Purpose and Scope**

The purpose of this Trading Partner Agreement (TPA) is to document the agreement between eZ-Audit and the Postsecondary Education Participant System (PEPS) regarding work to be jointly conducted by eZ-Audit and PEPS in order to build and operate the interfaces between the two systems. This TPA also documents how eZ-Audit and PEPS will work together in the following areas:

- PEPS School File Feed to eZ-Audit
- PEPS to eZ-Audit Setup Data Interface
- PEPS to eZ-Audit Scheduled Data Interface
- EZ-Audit to PEPS Scheduled Data Interface
- Test Coordination
- Production Operations and Coordination

This TPA is the soul, governing document for eZ-Audit – PEPS interfaces. It may be amended through proper change control, but cannot be superseded. Amendments to the TPA will be communicated at the entire document level.

## **1.2 Document Organization**

This introduction and overview, Section 1, defines the purpose and scope of the Trading Partner agreement. In addition, it provides the following information:

- EZ-Audit Contacts, with e-mail addresses or phone numbers
- PEPS Contacts, with e-mail addresses or phone numbers
- EZ-Audit Overview
- PEPS Overview

Sections 2 through 5 will summarize each of the four interfaces between eZ-Audit and PEPS. Each Section will be further divided into five subsections:

- Interface Description provides an overview of the purpose of the interface.
- Interface Data provides a list of the data elements pertinent to the interface.
- Summary Schedule provides a schedule containing projected start and end dates for each major task.
- Transfer Mechanism provides a description of the method of data transfer chosen for the interface.
- Operations and Support provides an outline of the procedures with associated contact people to be implemented during operations.

Section 6, eZ-Audit – PEPS Outstanding Issues, provides a list of any outstanding issues associated with this agreement.



### 1.3 EZ-Audit Contacts

The following individuals are the key contact people associated with the eZ-Audit development of these interfaces:

Name	Email	Title/Employer
Randall Wolff	<a href="mailto:Randall.Wolff@ed.gov">Randall.Wolff@ed.gov</a>	FSA eZ-Audit Project Manager
Gene Murphy	<a href="mailto:Gene.f.Murphy@accenture.com">Gene.f.Murphy@accenture.com</a>	EZ-Audit Project Manager
David Susanto	<a href="mailto:David.sussanto@accenture.com">David.sussanto@accenture.com</a>	EZ-Audit Technical Manager
Frank Southfield	<a href="mailto:Frank.southfield@icscl.com">Frank.southfield@icscl.com</a>	EZ-Audit Technical Architecture Lead
Jennifer Sri	<a href="mailto:Jennifer.c.sri@accenture.com">Jennifer.c.sri@accenture.com</a>	EZ-Audit Technical Architecture

### 1.4 PEPS Contacts

The following individuals are the key contact people associated with the PEPS development of these interfaces:

Name	Email	Title/Employer
Rana O'Brien	<a href="mailto:Rana.O'Brien@Ed.gov">Rana.O'Brien@Ed.gov</a>	PEPS Program Manager
Chris Hill	<a href="mailto:Chris.Hill@ed.gov">Chris.Hill@ed.gov</a>	PEPS Technical
Jay Long	<a href="mailto:jay.long@ed.gov">jay.long@ed.gov</a>	PEPS Technical
Sam Dejong	<a href="mailto:Samuel.Dejong@ed.gov">Samuel.Dejong@ed.gov</a>	PEPS DBA
Rebecca Bish	<a href="mailto:rebeccab@cbmiweb.com">rebeccab@cbmiweb.com</a>	CBMI Technical
Laurie Miesen	<a href="mailto:lmiesen@miesen.org">lmiesen@miesen.org</a>	CBMI Technical



## 1.5 EZ-Audit Overview

EZ-Audit is a new, web-based, single-point of submission for financial statements and compliance audits for institutions participating in Student Financial Aid Title IV programs. The eZ-Audit application will reduce the cycle-time required to collect and process financial statements and compliance audits from more than 8,500 proprietary, non-profit, and public institutions. The application will enhance the ability of Case Teams and the Document Receipt and Control Center (DRCC) to accurately record and report status of school reporting; therefore, addressing concerns listed in a recent GAO audit. The quality of FSA service to institutions will also be improved by this application via the timely acceptance and processing of the audited financial statements and compliance reports. This initiative will focus on both FSA audits and Office of Management and Budget (OMB) Circular A-133 audits (both compliance and financial).

EZ-Audit completed application development, independent of the PEPS interface, in December 2002 and is currently in System Test. The following is an overview of the eZ-Audit timeline:

January 2<sup>nd</sup> – 31<sup>st</sup>: System Test  
February 10<sup>th</sup> – 17<sup>th</sup>: Regression Test  
February 18<sup>th</sup> – 27<sup>th</sup>: UAT  
March 1<sup>st</sup> – 14<sup>th</sup>: Production Readiness Review  
March 17<sup>th</sup> – 30<sup>th</sup>: Code Freeze and Production Preparation  
March 31<sup>st</sup>: eZ-Audit “go-live”

## 1.6 PEPS Overview

The Postsecondary Education Participants System (PEPS) is the Federal Student Aid (FSA) management information system of all organizations that have a role in administering Student Financial Aid and other Higher Education Act programs. PEPS maintains eligibility, certification, demographic, financial, program review, audit and default rate data on all Schools, Lenders, and Guarantors participating in the Title IV programs.



## 2 PEPS to eZ-Audit School File Interface

Coordination between eZ-Audit and PEPS will be required in several areas to achieve a successful implementation of eZ-Audit. The PEPS School File Interface will be an interface that is utilized daily (excluding weekends and Federal Holiday's) to keep eZ-Audit populated with current school data.

### 2.1 Interface Description

EZ-Audit integrates with PEPS for school data. EZ-Audit achieves this integration through updates in the form of a school file feed, which is a flat text file received through the EAI Bus. EZ-Audit will be responsible for updating its database with the new or modified school data received from the PEPS school file.

On a daily basis (excluding weekends and Federal Holiday's), PEPS will provide a complete text file of all school data. This file will be available to the EAI bus, which is responsible for distributing this file as necessary to associated applications. EAI will put this file to a specified directory for eZ-Audit. Once in this directory, eZ-Audit will retrieve the modified rows of data and update its database tables accordingly.

### 2.2 Interface Data

The following is a table outlining all the eZ-Audit data elements required from the PEPS School File Feed. The table contains the eZ-Audit data definition and the corresponding PEPS School File data element, including in which record type it is located and its length.

eZ-Audit				PEPS				Comments
Table	Column	Type	Length	Record Type	Field	Position	Length	
Institution	OPE_ID	NUMBER	38	01-11, 98	OPE ID	3-10	8	OPE ID is second field in all PEPS files.
Institution	Region_ID	NUMBER	38	01	REGION CD	362-363	2	Assumption: All codes can be decoded based on an initial load of code tables. REGION CD: 01=Region I Boston, MA; 02=Region II New York, NY; 03=Region III Philadelphia, PA; 04=Region IV Atlanta, GA; 05= Region V Chicago, IL; 06=Region VI Dallas, TX; 07=Region VII Kansas City, MO; 08=Region VIII Denver, CO; 09=Region IX San Francisco, CA; 10=Region X Seattle, WA; 11=Foreign School Team Washington, DC; 12= Washington, DC
Institution	Name	VARCHAR2	256	01	LOC NAME	82-151	70	Location Name is the actual name; use this instead of School Name
Institution	Type	NUMBER	38	01	SCH TYPE	357-357	1	Assumption: All codes can be decoded based on an initial load of code tables. SCH TYPE: 1=Public, 2=Private, Nonprofit, 3=Proprietary, 4=Foreign, 5=Foreign Public, 6=Foreign Private, 7=Foreign For-Profit





# Trading Partner Agreement EZ-Audit and PEPS

eZ-Audit				PEPS				Comments
Table	Column	Type	Length	Record Type	Field	Position	Length	
Institution	Address1	VARCHAR2	256	01	LINE1 ADR	152-186	35	This is the Official Address of the school.
Institution	Address2	VARCHAR2	256	01	LINE2 ADR	187-221	35	
Institution	City	VARCHAR2	128	01	CITY	222-246	25	
Institution	State	VARCHAR2	128	01	STATE	247-248	2	
Institution	Postal_Code	VARCHAR2	32	01	ZIP	277-290	14	
Institution	Province	NUMBER	256	01	FOREIGN PROVINCE NAME	291-315	25	This is the province if it is a foreign address.
Institution	Country	NUMBER	256	01	COUNTRY	252-276	25	
Institution	Phone	VARCHAR2	32	02	(CONT AREA CODE + CONT EXCHANGE + CONT EXT + CONT EXT2) or (CONT FOREIGN PHONE)	(226-228 + 229-231 + 232-235 + 236-240) or 241-254	(3 + 3 + 4 + 5) or 14	This is the FAA's Phone Number. (Contact Type 03)
Institution	Fax	VARCHAR2	32	02	CONT FAX	255-268	14	This is the FAA's Fax Number. (Contact Type 03)
Institution	DUNS_Number	NUMBER	38	04	CMO GDUNS NBR CURRENT	30-39	10	This will be the CMO DUNS Number.
Institution	President	VARCHAR2	256	02	CONT SALUTATION + CONT FIRST NAME + CONT MI + CONT LAST NAME + CONT SUFFIX	175-176 + 177-191 + 192-192 + 193-222 + 223-225	2 + 15 + 1 + 30 + 3	Contact Type: 02=President Assumption: The full name with spaces added appropriately will be stored.
Institution	President_email	VARCHAR2	256	02	CONT INTERNET ADD	269-318	50	Contact Type: 02=President
Institution	Financial_Aid_Admin	VARCHAR2	256	02	CONT SALUTATION + CONT FIRST NAME + CONT MI + CONT LAST NAME + CONT SUFFIX	175-176 + 177-191 + 192-192 + 193-222 + 223-225	2 + 15 + 1 + 30 + 3	Contact Type: 03=Financial Aid Administrator. Assumption: The full name with spaces added appropriately will be stored.
Institution	FAA_email	VARCHAR2	256	02	CONT INTERNET ADD	269-318	50	Contact Type: 03=Financial Aid Administrator
Institution	Primary_Accrediting_Org	VARCHAR2	256	07	ACCRED AGENCY	12-17	6	Assumption: All codes can be decoded based on an initial load of code tables. Designation of school (PRI=Primary, SEC=Secondary) in positions 18-21, for a total of 4 spaces must be completed first in order to distinguish primary or secondary accrediting organization. Please see code table for a complete list. Must also check for ACCRED END DT.
Institution	Team_ID	NUMBER	38	01	CASE TEAM CD	410-411	2	



## Trading Partner Agreement EZ-Audit and PEPS

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eZ-Audit				PEPS				Comments
Table	Column	Type	Length	Record Type	Field	Position	Length	
Institution	Title_IV_Elig	VARCHAR2	1	01	ELIG STATUS IND	316-316	1	Value can be 'Y' or 'N'; first criteria to determine Title IV Elig - must be 'Y'
				01	CERT TYPE CD	317-317	1	Value can be 'C', 'P', or 'N'; second criteria to determine Title IV Elig - must be 'C' or 'P'
				01	APPROV IND	318-318	1	Value can be 'Y' or 'N'; third criteria to determine Title IV Elig - must be 'Y'
				01	PPA EXECUTION DT	339-346	8	fourth criteria to determine Title IV Elig - must not be null
Institution	TIN			04	TIN CURRENT	12-20	9	* Needs to be added in database
Institution	Title_IV_Elig_Date	DATE		01	INIT APR DT	390-397	8	TBD
Institution	Last_Mod_Time	DATE		N/A	N/A	N/A	N/A	eZ-Audit field to track last modification date and time
Interface Info	Year	NUMBER	4	00	YEAR	20-23	4	Field tracking version year.
Interface Info	Version	VARCHAR2	10	00	VERSION	25-29	5	Field tracking version number.
				02	CONT END DATE	327-334	8	Date a contact ended.

## 2.3 Summary Schedule

The PEPS file is produced M-F nightly, except Federal holidays. The file will be delivered to the eZ-Audit via the EAI Bus at roughly 8:30 PM EST each night. Once the file is delivered to the eZ-Audit server, the EAI Bus will start the eZ-Audit program to load the file. The first school file load will take place 3/25/2003 and will continue each time a school file is created.

## 2.4 Transfer Mechanism

The transfer mechanism used in the eZ-Audit – PEPS School File Interface is one that involves the EAI team. EAI is responsible for delivering the full school file to a specified eZ-Audit directory. EZ-Audit will look in this directory for the school file, Monday through Friday nights.

## 2.5 Operations and Support

In the event that support is needed during operations, the following are the contacts from the eZ-Audit, PEPS, and EAI teams:

EZ-Audit: Brian Cannavan and/or Ann Keast

PEPS: Jay R. Long

EAI: Jeff Goldhirsch and/or Eric Suzuki

Error	Possible Cause(s)	Possible Solutions
File not found	<ul style="list-style-type: none"> <li>School file not available in specified directory</li> <li>School file not named properly</li> </ul>	<ul style="list-style-type: none"> <li>Check config file path and filename</li> <li>Make sure EAI team has uploaded delta school file to</li> </ul>



Error	Possible Cause(s)	Possible Solutions
		appropriate directory
School not inserted	<ul style="list-style-type: none"> <li>▪ OPE ID already exists</li> <li>▪ One or more required fields are blank</li> <li>▪ Could not connect to database</li> </ul>	<ul style="list-style-type: none"> <li>▪ Check validity of OPE ID</li> <li>▪ Make sure all required fields contain values</li> <li>▪ Check database connection</li> </ul>
School data could not be updated	<ul style="list-style-type: none"> <li>▪ OPE ID not found</li> <li>▪ Invalid value(s)</li> <li>▪ Could not connect to database</li> </ul>	<ul style="list-style-type: none"> <li>▪ Check validity of OPE ID</li> <li>▪ Check validity of new values, making certain that all required fields are valid</li> <li>▪ Check database connection</li> </ul>
Could not connect to database	<ul style="list-style-type: none"> <li>▪ Database is not available</li> <li>▪ Network connection failure</li> </ul>	<ul style="list-style-type: none"> <li>▪ Check database status, making sure database is up and running</li> <li>▪ Check network connectivity to database server, may try to ping database</li> </ul>

PEPS will receive an error log each time the school file program is executed. These error logs will be emailed to a member of the PEPS team for review. A summary of the number of errors of each type will appear at the end the log file. The following is the list of the errors that may appear in the log file (associated OPE ID that caused the error will be identified if applicable).

- 01 - Detail Record (Title IV schools missing School Name)
- 01 - Detail Record (Title IV schools missing City)
- 01 - Detail Record (Domestic Title IV schools with an invalid State)
- 01 - Detail Record (Title IV schools missing Zip)
- 01 - Detail Record (Title IV schools missing Region)
- 01 - Detail Record (Title IV schools missing Institution Type)
- 01 - Detail Record (Title IV schools missing Case Team)
- 01 - Detail Record (Title IV schools missing Title IV Eligible Date)
- 01 - Detail Record (Title IV schools missing both Province and State)
- 02 - Contact Record (President - Type 34) Duplicates
- 02 - Contact Record (President - Type 02) Duplicates
- 02 - Contact Record (FAA) Duplicates
- 04 - Identifier Information Record (Title IV schools missing TIN)
- 07 - Accreditation Information Record (Primary - INS) Duplicates
- 07 - Accreditation Information Record (Primary - PRO) Duplicates
- 07 - Accreditation Information Record (Primary) Not Found
- Incorrect School File Version



### 3 PEPS to eZ-Audit Setup Data Interface

Coordination between eZ-Audit and PEPS will be required in several areas to achieve a successful implementation of eZ-Audit. The PEPS to eZ-Audit Setup Data Interface will be a one-time, initial interface to retrieve all data required for eZ-Audit to function in production.

#### 3.1 Interface Description

EZ-Audit integrates with PEPS prior to eZ-Audit “go-live” in order to capture all data necessary for eZ-Audit full functionality. This setup data consists primarily of institution data, reference tables, and summary-level submission data. Lower level data may be required for reporting or status determination reasons. All specified data must be inserted into the eZ-Audit database prior to eZ-Audit launch.

#### 3.2 Interface Data

The following is a list of data elements currently identified for setup purposes:

##### Audit Firm:

This data is inserted / updated into the eZ-Audit Audit\_Firm table.

PEPS Table	PEPS Field
CPA_OFFICE	IRS_NBR
CPA_OFFICE	CPA_OFC_NAME
CPA_OFFICE	STREET1_ADR
CPA_OFFICE	STREET2_ADR
CPA_OFFICE	CTY_NAME
CPA_OFFICE	ST_CD
CPA_OFFICE	FOREIGN_PROVINCE_NAME
CPA_OFFICE	COUNTRY_NAME_CD
CPA_OFFICE	ZIP_CD_NBR
CPA_OFFICE	ZIP_CD_EXT_NBR
CPA_OFFICE	FOREIGN_ZIP_CD_NBR
CPA_OFFICE	PH_AREA_CD_NBR
CPA_OFFICE	PH_EXCHG_NBR
CPA_OFFICE	PH_EXT_NBR
CPA_OFFICE	PH_EXT2_NBR
CPA_OFFICE	FOREIGN_PH_NBR
CPA_OFFICE	FAX_AREA_CD_NBR
CPA_OFFICE	FAX_EXCHG_NBR
CPA_OFFICE	FAX_EXT_NBR
CPA_OFFICE	FOREIGN_FAX_NBR
CPA_OFFICE	CPA_E_MAIL_ADR
CPA_OFFICE	CPA_OFC_SYS_ID
CPA_OFFICE	LAST_UPDT_DT

##### Submission Data:



This data is inserted / updated in the eZ-Audit Submission table.

PEPS Table	PEPS Field
FINANCIAL_STATEMENT	FISCAL_YR_END_DT
AUDIT_REPORT	AUDIT_REG_CD
AUDIT_REPORT	AUDIT_YR
AUDIT_REPORT	AUDIT_FISCAL_YR_RCVD_NBR
AUDIT_REPORT	AUDIT_SEQ_NBR
AUDIT_REPORT	AUDIT_RCVD_DT
FINANCIAL_STATEMENT	FIN_STMT_RCVD_DT

**Zone and Fiscal Year End Data:**

This data is inserted / updated in the eZ-Audit Institution table.

PEPS Table	PEPS Field
FINANCIAL_STATEMENT	FISCAL_YR_END_DT
FINANCIAL_STATEMENT	CONCLUSION_CD

**Waiver Data:**

This data is inserted / updated in the both the eZ-Audit Submission and institution\_exempt\_waiver tables. A submission is required to have a waiver on file.

PEPS Table	PEPS Field
FS_AUDIT_WAIVER	SCH_NBR
FS_AUDIT_WAIVER	WAIVER_FY1_DT
FS_AUDIT_WAIVER	WAIVER_FY2_DT
FS_AUDIT_WAIVER	WAIVER_FY3_DT
FS_AUDIT_WAIVER	ISSUE_DT

**School Group Data:**

This data is inserted / update in the Institution table.

PEPS Table	PEPS Field
SCHOOL_GROUP_MEMBER	SCH_NBR
SCHOOL_GROUP_MEMBER	SCH_GROUP_NBR
SCHOOL_GROUP_MEMBER	END_DT

**Finanacial Statement and Audit TIN Data:**

For financial statement and audits that are brought over from PEPS, the audit firm TINs are brought over from PEPS. In most cases currently in PEPS, TINs are not populated because it is not a required field. eZ-Audit will only populate TIN information where it exists in PEPS.

PEPS Table	PEPS Field
CPA_OFFICE	IRS_NBR



### **3.3 Summary Schedule**

eZ-Audit will get set-up data from PEPS as close to the production date of April 1, 2003 as possible. The date will be Friday March 28<sup>th</sup>. This will allow eZ-Audit to go live with as close to up to date data as possible. The interval feed will start 4/1/2003.

### **3.4 Transfer Mechanism**

The transfer mechanism chosen for the PEPS to eZ-Audit Setup Data Interface is one of direct database connection into the PEPS database. eZ-Audit will receive access to all necessary tables and fields and will pull this directly from the source.

### **3.5 Operations and Support**

The eZ-Audit team will be responsible for retrieving and loading data required for set-up. During the test phase before preparing for production, the eZ-Audit team will work with PEPS to ensure the data being retrieved is correct and accurate.



## **4 PEPS to eZ-Audit Scheduled Data Interface**

Data will continue to be entered through PEPS, and eZ-Audit will need to retrieve this updated information in order to keep their application current. This interface will need to occur on a routine basis to be determined by the eZ-Audit team. The initial plan is to have this process run weekly in off-hours (i.e. after 9 PM EST) to retrieve any new or updated records from PEPS. This schedule may be increased to daily off-hours if needed.

### **4.1 Interface Description**

At the time of eZ-Audit "go-live", not all paper submissions received by FSA will have been closed or completed in PEPS. Some will remain in-process in PEPS. This means that these submissions will continue to be worked in PEPS, and once they are completed, this data will be given to eZ-Audit. EZ-Audit will need this information to determine their submission status and for reporting purposes.

### **4.2 Interface Data**

The data required for update in eZ-Audit on a regular basis is a subset of the setup data. The interface data consists mostly of status determination data and those detailed pieces of data need for reporting. The data brought over for the interval feed is the same as the set-up data that can be found in section 3.

### **4.3 Summary Schedule**

eZ-Audit will get updated information on completed submissions through PEPS on a nightly basis. This process will run nightly after 9:00 PM EST M-F except holidays. To be able to adapt to the late code additions and any errors that occur, the eZ-Audit pull will be run manually for the first week to two weeks. The script will be run at the close of each business day.

### **4.4 Transfer Mechanism**

The transfer mechanism chosen for the PEPS – eZ-Audit Scheduled Data Interface is one of direct database connection into the PEPS database. EZ-Audit will receive access, via a secure login id and password, to all necessary tables and fields and will pull this directly from the source.

### **4.5 Operations and Support**

The eZ-Audit team will be responsible for retrieving and loading all required data from PEPS. The PEPS team will assist troubleshooting during development and testing.





## **5 EZ-Audit to PEPS Scheduled Data Interface**

PEPS will need to update their system on a regular basis as eZ-Audit begins to receive submissions. This interface will need to be utilized consistently to ensure that PEPS remains a viable and accurate source of financial statement and compliance audit data – most notably for reporting purposes

### **5.1 Interface Description**

PEPS will continue to provide access to financial statement and compliance audit information to different users after eZ-Audit goes into production. The data received in eZ-Audit must be sent back to PEPS in order for PEPS to remain accurate and current. This data is seen by different user groups and may also be seen in reports. The PEPS conversion program loads and updates audit report and financial statement fields needed for reporting that could be mapped from eZ-Audit fields. It also generates calculated fields and creates correspondence records to simulate actions that are performed by the PEPS screens. If an audit with the fiscal year end date already exists in PEPS, the record will not be loaded. If a financial statement with the fiscal year end date that has been received already exists in PEPS, the record will not be loaded. Only financial statements and audits that have a submission date will be loaded into PEPS. Annual, reinstatement, and initial submissions will be loaded into the financial statement tables. Annual, stub audit, and close-out audit submissions will be loaded into the audit tables. Annual submissions of audits for domestic public and private non-profit schools will not be loaded until the FAC ACN is populated in eZ-Audit. Only audits and financial statements that were created in eZ-Audit will be updated from eZ-audit. If an audit or financial statement was updated in PEPS after it was loaded from eZ-audit, it will not be updated from eZ-audit. Edit checks used by the PEPS screens will not be performed against the data.

### **5.2 Interface Data**

All fields displayed on PEPS DRCC, Financial Statement, and Compliance Audit Screens will be retrieved from the eZ-Audit, if possible. The following is a list of the eZ-Audit tables and columns that PEPS will need read-only access to:



<b>EZ-AUDIT Columns used by PEPS</b>	
<b>EZ-Audit Table Name</b>	<b>EZ-Audit Column Name</b>
ACN	TYPE
	CUR_DATE
	NUM_QUESTIONED_COSTS
	NUM_UNSUPPORTED_COSTS
	NUM_OTHER_RECOVERIES
	AMT_QUESTIONED_COST
	AMT_UNSUPPORTED_COST
	AMT_OTHER_RECOVERIES
	ORIG_QUESTIONED_COSTS
	ORIG_UNSUPPORTED_COSTS
	ORIG_OTHER_RECOVERIES
	AMND_QUESTIONED_COST
	AMND_UNSUPPORTED_COST
	AMND_OTHER_RECOVERIES
	ORIG_DEDUCT
	AMND_DEDUCT
	ORIG_ADD
	AMND_ADD
	ORIG_AMTS_RECOVERED
	AMND_ORIG_AMTS_RECOVERED
	ORIG_ADJUST_AUDITEE_SITE
	AMND_ADJUST_AUDITEE_SITE
	ORIG_OTHER
	AMND_OTHER
	FINDING_NUMS
	AMT_RECOMMENDED_BY_AUDIT
AUDIT_FIRM	TIN
	NAME
	ADDRESS_1
	ADDRESS_2
	CITY
	STATE
	PROVINCE
	COUNTRY
	POSTAL_CODE
	PHONE_NUMBER
	PHONE_NUMBER_EXT
	FAX_NUMBER
	CONTACT_EMAIL_ADDRESS
	LAST_MOD_TIME
BALANCE_SHEET_NON_PROFIT	FS_ID
	AR_RP_UNSECURE
	NR_RP_UNSECURED
	NET_PROPERTY_EQUIPMENT
	INTANGIBLE_AST
	TOT_AST
	LTD_NOTES
	LTD_BONDS
	LTD_OTHER
	POST_RETIREMENT_BENEFITS
	TOT_LIAB
	ANNUITIES
	PERMANENTLY_REST_NA
	LAST_MOD_TIME
BALANCE_SHEET_PROPRIETAR Y	FS_ID
	AR_RP_UNSECURE
	NR_RP_UNSECURED
	NET_PROPERTY_EQUIPMENT



# Trading Partner Agreement EZ-Audit and PEPS

	INTANGIBLE_AST
	TOT_AST
	CUR_PORTION_OF_LTD
	LTD_NET_OF_CUR_PORTION
	POST_RETIREMENT_BENEFITS
	TOT_LIAB
	LAST_MOD_TIME
COMPLETENESS_CHECK	SUBMISSION_ID
	IND_AUDIT_REPORT
	IND_AUDIT_REPORT_EXPL
	BALANCE_SHEET
	BALANCE_SHEET_EXPL
	INCOME_STATE
	INCOME_STATE_EXPL
	CHG_EQUITY
	CHG_EQUITY_EXPL
	CASH_FLOW
	CASH_FLOW_EXPL
	CONSOLIDATE
	CONSOLIDATE_EXPL
	FIN_NOTES
	FIN_NOTES_EXPL
	REVENUE_90_10
	REVENUE_90_10_EXPL
	LAST_MOD_TIME
DDIF	AUDIT_ID
	ASSIGN_DATE
	REV_BEG_DATE
	COMPLETION_DATE
	LAST_MOD_TIME
DDIF_ENTRY	AUDIT_ID
	SEQUENCE_NUM
	SPECIALIST_LIAB
	REPAYMENT_METHOD
	SPECIALIST_LIAB_A
	LAST_MOD_TIME
DETERMINATION	FS_ID
	TYPE
	DETERMINATION_DATE
	LAST_MOD_TIME
ENUM_VALUE	ENUM_TABLE
	ENUM_COLUMN
	VALUE
	LAST_MOD_TIME
	PEPS_CODE
FAD	AUDIT_ID
	DATE_SENT
	LAST_MOD_TIME
FINANCIAL_AUDIT	AUDIT_ID
	TIN
	SUBMISSION_ID
	ISSUE_DATE
	OFF_HOLD_DATE
	ON_HOLD_DATE
	DEFICIENCY_INDICATOR
	COMPLY_CLOSE_OUT
	OPINION_TYPE
	FSEOG_AUDITED
	FFELP_AUDITED



# Trading Partner Agreement EZ-Audit and PEPS

	FWS_AUDITED
	FPL_AUDITED
	PELL_AUDITED
	FDLP_AUDITED
	BEGIN_DATE
	END_DATE
	COMPLY_CLOSE_OUT
	OPINION_TYPE
	SCREEN_DATE
	COMPLETENESS_STATUS
	LAST_MOD_TIME
FINANCIAL_STATEMENT	FS_ID
	TIN
	SUBMISSION_ID
	COMPOSITE_SCORE
	FLAGGED
	PERIOD_END_DATE
	ECONOMIC_ENTITY
	GAGAS
	AICPA
	OPINION_TYPE
	GOING_CONCERN
	CONTINGENT_LIAB
	DEBT_AGREEMENT_VIOLATION
	ED_COMPLIANCE_ISSUE
	INCOME_RECOGNITION
	LATE_REFUNDS
	LAST_MOD_TIME
	PRIMARY_RESERVE_RATIO
	EQUITY_RATIO
	NET_INCOME_RATIO
	PRIMARY_RESERVE_SF
	EQUITY_SF
	NET_INCOME_SF
	TIN_CHANGE
	HEA_FUNDS
	COMPLETENESS_STATUS
	SCREEN_DATE
FINDING	AUDIT_ID
	SEQUENCE_NUM
	OPE_ID
	CODE
	NUM_ERRORS
	SAMPLE_SIZE
	ORIG_QUESTION_COST
	TYPE
	LAST_MOD_TIME
FORPROFIT_WS_668_171	FS_ID
	CS
	LAST_MOD_TIME
INCOME_STATEMENT	FS_ID
	TOT_EXP
	NET_INCOME
	LAST_MOD_TIME
NONPROFIT_WS_668_171	FS_ID
	CS
	LAST_MOD_TIME
STATEMENT_OF_ACTIVITIES	FS_ID
	TOT_EXP
	TOT_REVENUE



## Trading Partner Agreement EZ-Audit and PEPS

	LAST_MOD_TIME
SUBMISSION	SUBMISSION_ID
	OPE_ID
	FISCAL_YR
	TYPE
	SUBMISSION_DATE
	ACN
	FAC_ACN
	FSA_RECEIPT_DATE
	LAST_MOD_TIME
	RESUBMISSION_FLAG
	PEPS_FLAG
SUBMISSION_INSTITUTION	SUBMISSION_ID
	OPE_ID
	LAST_MOD_TIME

The following codes from the eZ-Audit ENUM\_VALUE table are used by the PEPS conversion program:

eZ-Audit Table	eZ-Audit Column	PEPS Code	Ez-Audit Code	Description
ACD	TYPE	A	2	Amended
		O	1	Original
		R	3	Revised ACD
			4	Revised FAD
			5	Compromise Settlement
DDIF_Entry	REPAYMENT_METHOD	B	10	B - Loan Discharge
		C	1	C - Cash Returned to Federal Account at Institution
		D	2	D - Funds to Direct Loan Organization Center
		E	3	E - Education Finance Accounts Receivable
		L	4	L - Not Required to Pay Assessed Liability Amt < \$1000
		N	5	N - Check to Perkins Loan Fund
		R	6	R - Repayment to FFEL Program
		S	7	S - Repayment to Student
		T	8	T - Fine (Used to AAA)
		V	9	V - Paid to ED (Liability already recovered by ED Finance)
DETERMINATION	TYPE	01	10	Without condition
		03	1	LOC with options
		04	12	Denial
		05	7	Zone-Cash Monitoring 1
		06	8	Zone-Cash Monitoring 2



		07	9	Reimbursement
		08	11	AAA Referral
		09	2	LOC >= 50%
		10	3	LOC >= 10% + HCM 1
		11	4	LOC >= 10% + HCM 2
		12	5	LOC >= 10% + Reimbursement
		13	6	LOC 25%
FINANCIAL_ STATEMENT	OPINION_TYPE	A	5	adverse
		D	4	disclaimer
		G	3	going concern
		O	6	other - unaudited
		C	7	other - compilation
		Q	2	qualified
		U	1	unqualified
SUBMISSION	TYPE	(not in PEPS)	1	Annual Submission (required)
			7	Reinstatement Submission
			6	Stub Audit
			4	Initial Application
			2	Merger/Change in Ownership
			5	Closeout Audit

### 5.3 Schedule

PEPS will retrieve data nightly from eZ-Audit. PEPS will specify the exact schedule, but it should be no earlier than 8:00PM EST to be after normal business hours.

### 5.4 Transfer Mechanism

The transfer mechanism chosen for the eZ-Audit – PEPS Scheduled Data Interface is one of direct database connection into the eZ-Audit database. PEPS will receive access to all necessary tables and fields via direct access through a secure ID and password and will pull this directly from the source.

### 5.5 Operations and Support

PEPS will be responsible for writing the code to access the data and loading it into the PEPS database correctly. eZ-Audit will assist during testing and development as needed and provide read-only user ids into test database to PEPS. eZ-Audit will also provide database extracts to PEPS during the test phase and continually through the ongoing development and support phase. These will be provided upon request.



## 6 EZ-Audit – PEPS Outstanding Issues

The outstanding issues are detailed below.

#	Issue	Owner	Due Date
1	ACD fund codes, object classes, and to be collected transfer to PEPS from eZ-Audit. The data classification between eZ-Audit and PEPS is not the same. This is being logged as a SIR and will be addressed post 4/1.	Seth Sinclair / Ti Baker / Sherry Quade	TBD
2	Waiver and exemption date from eZ-Audit to PEPS not currently mapped		
3	Amended/Revised ACDs in eZ-Audit to make sure they transfer correctly to PEPS.		
4	Need to add to Waiver Data – new PEPS field that came into Production within the last couple of months. New field is “Below Funding Threshold” by FYE. This indicator is for non-profit & public schools that receive less than \$300,000 in funding. Field can be found in PEPS on Financial/Audit Tracking screen (pepsd143)		